

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

10/009108  
1849 REC PCT/PTO 03 DEC 2001

APPLICANT: M. Oyamada, et al.  
SERIAL NO. not yet assigned  
FILING DATE: December 3, 2001  
INVENTION: WRITE ONCE OPTICAL RECORDING MEDIUM

ATTORNEY DOCKET NO. 9792486-0112  
GROUP ART UNIT: not yet assigned  
EXAMINER: not yet assigned

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

HON. ASSISTANT COMMISSIONER OF PATENTS  
Washington, D.C. 20231

Sir:

Submitted herewith is an Information Disclosure Statement for consideration in the above-identified application. This Information Disclosure Statement is submitted.

- ☒ Within 3 months (1) of filing date of a national application; (2) of date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application; or (3) before the mailing date of a first Office Action on the merits, whichever occurs last. (No fee is required.)
- ☐ After the mailing date of a first Office Action but before (1) mailing of a final action under 37 C.F.R. §1.113; or (2) mailing of a notice of allowance under §1.311, whichever occurs first.  
☐ Payment for the fee set forth in 37 C.F.R. §1.17(p) accompanies this submission; or  
☐ The certification specified in 37 C.F.R. §1.97(e) is made below. (No fee is required.)
- ☐ After the mailing of (1) a final action under 37 C.F.R. §1.113; or (2) a notice of allowance under 37 C.F.R. §1.311 whichever occurs first, but **before** payment of the issue fee. The certification specified in 37 C.F.R. §1.97(e) is made below. The Commissioner hereby is petitioned to consider the Information Disclosure Statement accompanying this submission. Payment for the Petition fee set forth in 37 C.F.R. §1.17(i)(1) accompanies this submission.
- ☐ The undersigned counsel for applicant(s) hereby certifies each item of information identified in the Information Disclosure Statement was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ The undersigned counsel for applicant(s) hereby certifies that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application, or to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
- ☐ A check in the amount of \$\_\_\_\_\_ to cover any required fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 19-3140. *A duplicate copy of this sheet is enclosed for this purpose.*

Very respectfully,

SONNENSCHN NATH & ROSENTHAL

By David R. Metzger, Reg. 32,919

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When phoning re this application,  
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CERTIFICATE OF MAILING

I hereby certify that this Information Disclosure Statement is being deposited with the United States Postal Service as U.S. Express Mail, Label No. EL834723472US to the United States Patent and Trademark Office, P.O. Box 2327 - PCT, Arlington, VA 22202 December 3, 2001.

Ellen Hogan  
Ellen Hogan

APPLICANT:  
SERIAL NO.  
FILING DATE:  
INVENTION:

M. Oyamada, et al.  
not yet assigned  
December 3, 2001  
WRITE ONCE OPTICAL RECORDING MEDIUM

ATTORNEY DOCKET NO. 9792486-0112  
GROUP ABSTRACT not yet assigned  
EXAMINER: not yet assigned

03 DEC 2001

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Ellen Hogan  
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DATE FILED:	December 3, 2001	EXAMINER: not yet assigned
INVENTION:	WRITE ONCE OPTICAL RECORDING MEDIUM	

Hon. Assistant Commissioner for Patents  
Washington, DC 20231

S I R:

In accordance with the provisions of 37 C.F.R. § 1.56, Applicants request that citation and examination of the references identified on the attached PTO-1449 form, copies of which are enclosed herewith in accordance with 37 C.F.R. §1.98, be made during the course of examination of the above-referenced application for United States Letters Patent.

I. SUBMITTED UNITED STATES PATENT REFERENCES

<u>Reference</u>	<u>Number</u>	<u>Patentee</u>	<u>Issue Date</u>
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II. SUBMITTED FOREIGN PATENT REFERENCES

<u>Reference</u>	<u>Number</u>	<u>Country</u>	<u>Date of Publication</u>
	8-127174	JP	21 May 1996
	2000-263936	JP	26 September 2000
	99/67200	WO	29 December 1999
	10-53710	JP	24 February 1998
	10-337957	JP	22 December 1998

III OTHER DOCUMENTS

<u>Reference</u>	<u>Title/Author</u>	<u>Date of Publication</u>
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IV. EXPLANATION OF RELEVANCE

The references were cited in the Japanese Patent Office Search Report of July 3, 2001, in counterpart PCT/JP01/02903. A copy of the Search Report is submitted herewith.

Submitted by,

 (Reg. 32,919)

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